JAN 29 2001

Filing Date March 24, 2004

Group Art Unit 2814

Examiner Name Long Pham

Confirmation No. 4567

Attorney Docket No. 400.085US03

GENERAL
TRANSMITTAL
FORM UNDER 37 CFR 1.8
(LARGE ENTITY)

Title: DOPED ALUMINUM OXIDE DIELECTRICS

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### **Enclosures**

#### The following documents are enclosed:

- X Supplemental Information Disclosure Statement (1 pg.); Form 1449 (1 pg.); U.S. reference(s) not included pursuant to 37 C.F.R. 1.98 (c)(2)(i);
- X A check in the amount of \$180.00 (IDS);
- X An itemized return-receipt postcard

Leffert Jay & Polglaze, P.A. P.O. Box 581009 Minneapolis, MN 55458-1009

T - 612/312-2200 F - 612/312-2250

Please charge any additional fees or credit any overpayments to Deposit Account No. 501373.

### **CUSTOMER NO. 27073**

#### **Submitted By** Reg. No. 40,697 Name Thomas W. Leffert Telephone (612) 312-2204 Signature Date Certificate of Mailing I certify that this correspondence and the identified documents listed on this transmittal are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on January 24, 2007. moran Name Susan W. Donovan Signature Wan

(LARGE ENTITY TRANSMITTAL UNDER 37 CFR § 1.8)

<b>&amp;</b> ,	
t Named Inventor	Kie Y. Ahn
Serial No.	10/808,189
Filing Date	March 24, 2004
Group Art Unit	2814
Examiner Name	Long Pham
Confirmation No.	4567
Attorney Docket No.	400.085US03

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Title: DOPED ALUMINUM OXIDE DIELECTRICS

Mail Stop: AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

In compliance with 37 C.F.R. §§ 1.56 and 1.97, et seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified Application. Pursuant to 37 C.F.R. 1.98 (a)(2)(i), as this application was filed after June 30, 2003, Applicant has not included copies of U.S. Patents or U.S. Patent Application Publications. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the references listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP §609, Applicant further requests that the Examiner initial next to each reference on the Form 1449 to indicate that the listed references have been considered. Applicant further requests that a copy of the initialed Form 1449 be returned with the next official communication.

As an Office Action has issued in this application, Applicant encloses a check in the amount of \$180.00. However, the Commissioner for Patents is hereby authorized to charge any additional fees to Deposit Account No. 501373.

If the Examiner has any questions or concerns regarding this application, please contact the undersigned at (612) 312-2204.

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ID.

Respectfully submitted,

Date: 24 JAN 0

Thomas W. Leffer

Reg. No. 40,697

Attorneys for Applicant Leffert Jay & Polglaze P.O. Box 581009 Minneapolis, MN 55458-1009 T 612 312-2200



First Named Inventor	Kie Y. Ahn	
Serial No.	10/808,189	
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Examiner Name	Long Pham	
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## **SUPPLEMENTAL** INFORMATION DISCLOSURE **STATEMENT** FORM PTO-1449

Title: DOPED ALUMINUM OXIDE

Sheet 1 of 1

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U.S. Patent References					
Examiner Initials	Document No.	Issue/Publication Date	Name	Filing Date	
	5,643,423	July 1, 1997	Kimock et al.	December 3, 1993	
	2001/024860 A1	September 27, 2001	Park et al.	December 19, 2000	
			-		

			Foreign 1	Patent References			
Examiner	Foreign Pat	ent		Name		Publication	Translation
Initials	Country	No.			•	Date	

		Other References	ķ.	
Examiner Initials	Author, Title, Date, Pages, etc.			

Examiner	Date				
Signature	Considered				
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not					

in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce